



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

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43

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/399,412	09/20/99	RING	M CRD-99304

0232/1005

JAMES RAY & ASSOCIATES
2640 PITCAIRN ROAD
MONROEVILLE PA 15146

NOT ASSIGNED

3613

DATE MAILED:

10/05/99
12/05/99 DUE



NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:
- ☐ missing.
 - ☐ insufficient.

Applicant must submit \$_____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$_____ for _____ total claims over 20.

\$_____ for _____ independent claims over 3.

\$_____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

G. Molteni

Customer Service Center
Initial Patent Examination Division (703) 308-1202

12/08/1999 CUBRACHA 00000020 09399412 130.00 DP
01 FC:105

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Michael E. Ring

Filed: September 20, 1999

Serial No.: 09/399,412

For: A METHOD OF AND AN APPARATUS FOR)
ENHANCING THE BRAKING EFFICIENCY)
OF A RAILWAY FREIGHT TRAIN CONSIST)



Group Art Unit: 3613

Examiner: TBA

Attorney Docket: CRD 99304

Date: December 3, 1999

*Assistant Commissioner for Patents
Washington, D.C. 20231*

Attn: Box Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Dear Sir:

Applicant is in receipt of a Notice to File Missing Parts of Application mailed from the United States Patent and Trademark Office on October 5, 1999.

Enclosed, herewith, is the Declaration executed by the inventor(s) on October 15, 1999 and a copy of the Notice to File Missing Parts of Application.

Also, enclosed is check no. 3286 in the amount of \$ 130.00 to cover the surcharge fee.

Respectfully submitted,
JAMES RAY & ASSOCIATES

By Michele K. Yoder
Michele K. Yoder
Agent for Applicant(s)
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Sector #

I hereby certify that this correspondence
is being deposited with the United States
Postal Service as First Class Mail
In an envelope addressed to:

Commissioner of Patents and Trademarks
Washington, D.C. 20231



on December 3, 1999
Joette O'Harely